

EUROPEAN ORGANISATION FOR THE SAFETY OF AIR NAVIGATION

EUROCONTROL

- Decisions of the enlarged Commission -

DECISION No. 81

amending the Principles for Establishing the Cost-Base for Route Facility Charges and the Calculation of the Unit Rates

THE ENLARGED COMMISSION,

Having regard to the EUROCONTROL International Convention relating to Co-operation for the Safety of Air Navigation, as amended by the Protocol signed at Brussels on 12 February 1981, and in particular Article 5.2 thereof;

Having regard to the Multilateral Agreement relating to Route Charges, dated 12 February 1981, and in particular Articles 3.2(a) and 6.1(a) thereof;

Having regard to the Principles for Establishing the Cost-base for Route Facility Charges and the Calculation of the Unit Rates;

On the proposal of the enlarged Committee and the Provisional Council,

HEREBY TAKES THE FOLLOWING DECISION :

Article 1

The Principles for Establishing the Cost-Base for Route Facility Charges and the Calculation of the Unit Rates shall be amended as follows:

1.1 The last sub-paragraph of Paragraph 1.3 shall be replaced as follows:

“However, Contracting States may opt for the process of setting national unit rates in advance for a period of three to five years. In such cases, they shall establish appropriate forecast cost and service units for each of the relevant years.

Irrespective of the period for which national unit rates are set, costs and ancillary data shall cover the period up to “n + 6”.

1.2 The last sub-paragraph of Paragraph 1.8 shall be replaced as follows:

“Where unit rates are set in advance for a period of three to five years, the adjustment mechanism shall be applied to ensure that only the actual costs of the service are eventually recovered.

The adjustment mechanism is described in detail in Appendices II and III and in paragraph 3 below.”

1.3 A new Paragraph 1.12 shall be inserted and shall read as follows:

“In order to encourage an efficient and effective service at the lowest possible costs, the provisions of paragraphs 1.10 (i), (ii) and (iii) and 1.11 (i), (ii), (iii) and (iv) shall apply by analogy, it being understood that all necessary changes have been made, to those Contracting States opting for the setting of unit rates in advance for a period of three to five years and whose service provider is not subject to independent economic regulation.”

1.4 Paragraph 3 shall be replaced as follows:

“The national unit rate shall be calculated, either:

- by dividing the forecast total number of service units for the relevant year into the forecast cost-base for the same year, or*
- by dividing the forecast number of chargeable service units for the relevant year into the forecast cost-base reduced to take account of exempted flights.*

Any under-recovery of costs resulting from flights exempted from the payment of route charges shall not be recovered from other users.

The national unit rate shall be determined in accordance with Appendix III.”

1.5 Paragraph 3.1.2 shall be replaced as follows:

“Under-recovery or over-recovery as a result of the difference between income/revenue and costs shall be carried over and included in the cost-base of year “n + 2” or to a period of up to six years (for years “n+1” up to “n+6”) and included in the corresponding cost-bases. An appropriate cost of capital shall be applied to the amounts carried forward. Amounts carried forward to a given year shall be converted into euros at the rate of exchange applied to the other costs for that year. Contracting States wishing to avail of the flexibility to carry forward under/over recoveries for a longer period than “n+2” shall inform the enlarged Committee for Route Charges in writing and with the appropriate justifications.

Contracting States opting for the setting of national unit rates in compliance with paragraphs 1.3 and 1.8, shall carry forward the cumulated under/over recoveries as results of differences between actual costs and revenues and that have not yet been carried forward, to the following period.”

1.6 Table 5 of Appendix II and tables 1 and 2 of Appendix III shall be replaced by the tables as at Annexes 1, 2 and 3 respectively to this Decision.

Article 2

These amendments shall come into effect on the first day of the month following the date of the present Decision.

Done at Brussels on 8.10.04

To be signed

P. LUNARDI
President of the Commission

APPENDIX II

Table n°5: NATIONAL UNIT RATE

n-2 (A)	n-1 (A)	n (A)	n+1 (F)	n+2 (F)	n+3 (P)	n+4 (P)	n+5 (P)	n+6 (P)
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COSTS ('000 EUR) AND SERVICE UNITS ('000)

National costs	% i/i-1								
Eurocontrol costs	% i/i-1								
VFR, circular	% i/i-1								
IFR cost-base	% i/i-1								
Exempted flights	% i/i-1								
Reduced cost-base	% i/i-1								
Amount carried over to year i	% i/i-1								
Total / Chargeable costs	% i/i-1								
Total / Chargeable SU	% i/i-1								

CARRY OVER OF UNDER / OVER-RECOVERIES

TOTAL

Balance Year n-6									
Balance Year n-5									
Balance Year n-4									
Balance Year n-3									
Balance Year n-2									
Balance Year n-1									
Balance Year n									
Balance Year n+1									
Amount carried over to year i									

n-2 (A)	n-1 (A)	n (A)	n+1 (F)	n+2 (F)	n+3 (P)	n+4 (P)	n+5 (P)	n+6 (P)
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NATIONAL UNIT RATE (in EUR)

% i/i-1								
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NATIONAL UNIT RATE (in national currency or in euro)

% i/i-1								
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APPENDIX III
CALCULATION OF THE NATIONAL UNIT RATE

Tableau / Table 1

Assiette des redevances et taux unitaire national à partir des comptes prévisionnels n + 2
Cost - Base and National Unit Rate : Year n + 2 Forecast Account

Etat / State: "Etat / State"

1. Coûts nationaux / National Costs

Year n	Cur.		Réel /Actual
Year n+1	Cur.		Estimation / Estimate
Year n+2	Cur.		Estimation / Estimate

2. Coûts Eurocontrol / Eurocontrol Costs

Year n	EUR		Réel /Actual
Year n+1	EUR		Estimation / Estimate
Year n+2	EUR		Estimation / Estimate

3. Unités de service totales / Total Service Units

% diff.

Year n			Réel /Actual
Year n+1			Estimation / Estimate
Year n+2			Estimation / Estimate

4. Unités de service exonérées / Exempted Service Units

% diff.

Year n			Réel /Actual
Year n+1			Estimation / Estimate
Year n+2			Estimation / Estimate

5. Calcul du taux unitaire national
/
Calculation of the National Unit Rate

EUR

5.1 Coûts nationaux / National costs	1	
5.2 Coûts EUROCONTROL / EUROCONTROL Costs	2	
5.3 Assiette non réduite / Non-reduced cost-base	3=1+2	
5.4 Réduction pour coûts VFR / Reduction for VFR costs	4	
5.5 Vols circulaires / Circular flights	5	
5.6 Assiette imputable aux vols IFR / Cost-base of IFR flights /	6=3-4-5	
5.7 Réduction pour vols exonérés / Reduction for exempted flights	7	
5.8 Assiette redevance réduite / Reduced cost - base	8=6-7	
5.9 Montant reporté n + 2 / Amount carried over n + 2	9	
5.10 Coûts à charge des usagers / Costs Chargeable	10=8-9	
5.11 Unités de service payantes pour n + 2 Chargeable service units for n + 2	11	
5.12 Taux unitaire national / National unit rate	12=10/11	

Taux de change appliqué / Exchange rate applied
Moy. avril/sept.n+1 / Average April/Sept. n+1 : EUR 1 = Cur.

Mécanisme correcteur - solde entre recettes et coûts de l'année n
Adjustment mechanism - balance between income and costs for year n

en monnaie nationale
/ in national currency

Etat / State: "ETAT / STATE"

1. Recettes / Income:

Sommes recouvrées en monnaie nationale au cours de l'année n /
Cash received in national currency during year n

Montants à percevoir à la clôture de l'année n /

Accounts receivable at close of collection year n

+

1)

Radiations (redevances nationales) effectuées pendant l'année n /

Write-offs (national charges) effected in year n

+

Montants à percevoir au début de l'année n /

Accounts receivable at beginning of collection year n

-

Recettes brutes / Gross income (A)

Provision pour créances douteuses / Provision for doubtful accounts

-

Recettes nettes / Net income (B)

2. Coûts / Costs:

Coûts calculés pour l'Etat au titre de l'année n /

Established costs of State for year n

2)

- Moins coûts pour vols exonérés pendant l'année n /

Less costs in respect of exempted flights in year n

- VFR

-

- Circulaire / Circular

-

- autres / others

-

- Moins charges administratives relatives aux vols exonérés /

Less administrative charges relating to exempted flights

-

Coûts de l'Etat à recouvrer pendant l'année n /

Costs of State to be recovered in year n (C)

3. Solde / Balance:

Sous-recouvrement/surplus de l'année n /

Under/over recovery for year n (B-C)

Montant reporté sur l'année n /

Amount carried over to year n

+

Solde de l'année n /

Balance for year n

1) EUR..... au taux de / at rate of 1 EUR =

Cur.

2) Comprenant les coûts EUROCONTROL / including EUROCONTROL costs

EUR

au taux de / at rate of 1 EUR =

Cur.